NATIONAL SECURITY AGENCY
FORT GEORGE G. MEADE, MARYLAND 20755-6000

2 October 2014

Mr. Jason Leopold
[redacted]

Dear Mr. Leopold:

I am writing to follow up on my correspondence of 23 July 2014, in view of General Keith B. Alexander's public role as the former Director of the National Security Agency, the Agency hereby provides the Financial Disclosure Reports, Form OGE278, as requested, as a matter of discretion and in the interest of transparency. Although your request sought reports for the years 2005 through 2014, the Ethics in Government Act mandates only that Financial Disclosure Reports be made available to the public for a period of six years after receipt. 5 C.F.R. § 2634.603(g)(1). As a result, enclosed are the reports received in years 2009 through 2014.

Sincerely,

Shadey T. Brown

SHADEY T. BROWN
Alternate Designated Agency Ethics Official
Attorney
Office of General Counsel
(Administrative Law and Ethics)

Enc.
a/s

VICE NEWS

Public Financial Disclosure Report (OGE 278)

For Keith B. Alexander

Received April 2009

Covering Calendar Year 2008

MICENEWS

09A

SF 278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved:
OMB No. 3209 - 0001

UNCLASSIFIED//FOR OFFICIAL USE ONLY

Date of Appointment, Candidacy, Election, or Nomination *(Month, Day, Year)*	Reporting Status (Check Appropriate Boxes)	Incumbent [X]	Calendar Year Covered by Report: 2008	New Entrant, Nominee, or Candidate [ ]	Termination Filer [ ] — Termination Date *(If Applicable) (Month, Day, Year)*
Reporting Individual's Name	Last Name: Alexander			First Name and Middle Initial: Keith B	
Position for Which Filing	Title of Position: Director, NSA/Chief, CSS			Department or Agency *(If Applicable)*: NSA	
Location of Present Office (or forwarding address)	Address *(Number, Street, City, State, and ZIP Code)*: 9800 Savage Road, Ft. Meade MD 20755-6000			Telephone No. *(Include Area Code)*: 301-688-7111	
Position(s) Held with the Federal Government During the Preceding 12 Months *(If Not Same as Above)*	Title of Position(s) and Date(s) Held: Not Applicable				
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination: Not Applicable			Do You Intend to Create a Qualified Diversified Trust? [ ] Yes [ ] No	
Certification — I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	Signature of Reporting Individual: KB			Date *(Month, Day, Year)*: 10 APRIL 09	
Other Review (If desired by agency)	Signature of Other Reviewer: [redacted]			Date *(Month, Day, Year)*: 4/30/09	
Agency Ethics Official's Opinion — On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).	Signature of Designated Agency Ethics Official/Reviewing Official: [signature]			Date *(Month, Day, Year)*: 5/4/09	
Office of Government Ethics Use Only	Signature			Date *(Month, Day, Year)*	

Comments of Reviewing Officials *(If additional space is required, use the reverse side of this sheet)*

(Check box if filing extension granted & indicate number of days _____) [ ]

No Conflicts - [redacted] 4/30/09

(Check box if comments are continued on the reverse side) [ ]

Fee for Late Filing
Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a $200 fee.

Reporting Periods
Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A--The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B--Not applicable.

Schedule C, Part I (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements)--Show any agreements or arrangements as of the date of filing.

Schedule D--The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.

Agency Use Only
4/15/09 [redacted]

OGE Use Only

Supersedes Prior Editions, Which Cannot Be Used.

278-112

Derived From: _____
Dated: _____
Declassify On: _____

NSN 7540-01-070-8444
OGE/Adobe Acrobat version 3.0.1 (3/29/01)

SF 278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name: Alexander, Keith B

SCHEDULE A

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual amount of any honoraria over $200 of your spouse).

None ☐

	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Examples	Central Airlines Common				x												x						x										
	Doe Jones & Smith, Hometown, State			x																												Law Partnership Income $130,000	
	Kempstone Equity Fund					x								x										x									
	IRA: Heartland 500 Index Fund							x						x											x								
1	Sychronoss Tech Inc common			x																	x												
2	ConnectSys Corporation common	x																			x												
3	WRL Mutual Fund - Emerging Growth		x											x							x												
4	WRL Mutual Fund - Strategic Total Return		x											x							x												
5	WRL Mutual Fund - Growth		x											x							x												
6	Datascension Inc		x																		x												

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

SF 278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name: Alexander, Keith B

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

	Assets and Income (Block A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Vanguard Small Cap mutual fund		X											X							X												
2	Vanguard Mid Cap mutual fund		X											X							X												
3	Mosaic Company		X																		X												
4	Pericom Semiconductor Inc		X																		X												
5																																	
6																																	
7																																	
8																																	
9																																	

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Prior Editions Cannot Be Used.

OGE/Adobe Acrobat version 1.0.1 (3/29/01)

SF 278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name: Alexander, Keith B

SCHEDULE B

Part I: Transactions

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss.

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

None ☐

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
Example	Central Airlines Common	x			2/1/99			x									
1	Aluminum Corp China LTO Adr (no reportable income for Schedule A)		x		1/7/08	x											
2	Potash Corp SASK Inc (no reportable income for Schedule A)		x		1/7/08	x											
3	Synchronous Tech Inc Common		x		1/7/08		x										
4	Synchronous Tech Inc Common	x			1/25/08		x										
5	Synchronous Tech Inc Common		x		2/1/08		x										

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $260, and (2) travel-related cash reimbursements received from one source totaling more than $260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. **Exclude** anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $104 or less. See instructions for other exclusions.

None ☒

	Source (Name and Address)	Brief Description	Value
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty)	$500
	Frank Jones, San Francisco, CA	Leather briefcase (personal friend)	$300
1			
2			
3			
4			
5			

Prior Editions Cannot Be Used.

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SF 278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name: Alexander, Keith B

SCHEDULE B continued
(Use only if needed)

Part I: Transactions

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
1	Atlas America Inc	x			4/7/08		x										
2	Pericom Semiconductor Inc	x			5/2/08	x											
3	Monolithic Power Systems Inc	x			5/2/08	x											
4	Atlas America Inc (no reportable income for Schedule A)		x		5/2/08		x										
5	Synchronous Tech Inc Common	x			5/7/08	x											
6	Monolithic Power Systems Inc (no reportable income for Schedule A)		x		5/28/08	x											
7	Mosaic Company	x			10/16/2008	x											
8																	
9																	
10																	
11																	
12																	
13																	
14																	
15																	
16																	

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

SF 278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name: Alexander, Keith B

SCHEDULE C

Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at **any time** during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☐

	Creditors (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	$10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, 123 J St., Washington, DC	Promissory note	1999	10%	on demand					x						
1	College Loan Corporation, San Diego CA	loan	2003	4.86%	10yr		x									
2																
3																
4																
5																

*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☒

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1			
2			
3			
4			
5			
6			

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SF 278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name		Page Number
Alexander, Keith B	**SCHEDULE D**	7 of 7

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☒

	Organization *(Name and Address)*	Type of Organization	Position Held	From *(Mo., Yr.)*	To *(Mo., Yr.)*
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1					
2					
3					
4					
5					
6					

Part II: Compensation in Excess of $5,000 Paid by One Source

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

None ☐

	Source *(Name and Address)*	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	n/a	
2		
3		
4		
5		
6		

Prior Editions Cannot Be Used.

OGE/Adobe Acrobat version 1.0.1 (3/29/01)

Annual Certification

Restrictions for Seeking Post-Government Service Employment

I certify that I reviewed the restrictions (found on NSANet at: http://www.n.nsa/GC/practgrps/soco/financialdisclosure/RestrictionsForSeekingPostGovernmentEmployment.htm) for seeking post-Government service employment while still in Federal service, set forth in 18 U.S.C. 208, 41 U.S.C. 423, and 18 U.S.C. 207. I further certify that I have not knowingly violated those statutes that apply to Federal personnel while they are in Federal service.

Alexander Keith B

Print: Last Name, First Name, Middle Initial

10 APRIL 09

Date

Signature

SENSITIVE

SF278 SUPERVISOR'S EVALUATION FORM

SF278 Filer's Name: Alexander Keith B **SF278 Filer's SID:** kbalex2 **SF278 Filer's ORG:** D

SF278 Filer's Job Description (short list of duties-use back if necessary): Director, NSA / Chief CSS

ATTENTION SUPERVISORS! A conflict of interest may exist if the filer participates personally and substantially on behalf of the Government in any matter in which the filer, filer's spouse, minor child, general partner, outside business, employer, or organization for which the filer is an officer/director has a financial interest. Do you reasonably anticipate that the filer's Government action could have a direct and predictable effect on the financial interest involved? If so then a conflict of interest may exist. A filer may not work on a matter on behalf of the Government that could have a direct and predictable effect on a financial interest of someone with whom the filer has a "covered relationship," if a reasonable person with knowledge of the facts would question the filer's impartiality in the matter. A filer has a "covered relationship" with anyone with whom the filer has a close personal relationship or served as an employee within the last year. Consider the following options, mark one that best describes this filer's situation, then **SIGN**.

☐ No financial interests or affiliations reported. (Only check if **ALL** blocks on the SF278 are marked "**NONE**".)

☒ Reported financial interests or affiliations are unrelated to assigned or prospective duties, and no conflicts appear to exist.

☐ Reported financial interests or affiliations (including a former Non-Federal employer within the previous year) are currently unrelated to assigned duties, but are with defense contractors (or potential defense contractors) that are involved in the same general field as the filer. As the filer's supervisor, I recommend that E&FL issue a Memorandum of Caution to the filer to alert him/her of the potential for a conflict or an appearance of a conflict. The financial interest companies or affiliations are: _____

☐ Assigned or prospective duties might require participation in matters involving a reported financial interest or affiliation (including a former Non-Federal employer within the previous year). The conflict will be resolved by:

☐ **Exemption**-Filer's and family's total financial interest at issue is a publicly-traded stock or bond worth less than $15,000.

☐ **Disqualification attached**-Supervisor confirms removal from conflicting duties through change in assigned work. Template may be found here: *http://www.n.nsa/GC/practgrps/soco/financialdisclosure/financialdisclosuretoc.htm*

☐ **Divestiture** of interests by filer pending, and relief of filer from all related duties pending divestiture. Contact E&FL for Certificate of Divestiture.

☐ **Waivers** are only available when the filer's financial interests are not so substantial as to affect the filer's integrity because the interest is a small percentage of filer's total financial portfolio. Contact E&FL for waiver application.

Supervisor's Printed Name: Mr. James R. Clapper, Under Secretary of Defense for Intelligence **Date:** 23 APR 09

Supervisor's Signature: James R. Clapper

Ethics & Fiscal Law (E&FL): D27, OPS 2A, Rm 2A0266, Suite 6205 963-6786s/301-688-2752b

FORM SF0278_SEF REV FEB 2007 (Previous versions are obsolete)

Public Financial Disclosure Report (OGE 278)

For Keith B. Alexander

Received March 2010

Covering Calendar Year 2009

MCENEMS

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

UNCLASSIFIED//FOR OFFICIAL USE ONLY

	Reporting Status	[x] 2009	[ ] [ ]
Reporting Individual's Name	Alexander	Keith B	
Position for Which Filing	Director NSA Chief CSS	NSA/CSS	
Location of Present Office	9800 Savage Road Fort Meade MD 20755-6000	301 688 7111	
	Not Applicable		
Presidential Nominees Subject to Senate Confirmation	Not Applicable	[ ] Yes [ ] No	
Certification		Date (Month Day Year) Mar 11 2010	
Other Reviewer Designated by Agency	[redacted]	Date (Month Day Year) 3/12/2010	
Agency Designated Ethics Official		Date (Month Day Year)	
Office of Government Ethics Use Only		Date (Month Day Year)	

IR 3/12/10 [redacted]

No Conflicts

Fee for Late Filing

Reporting Periods

Incumbents

Termination Filers

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A

Schedule B Not applicable

Schedule C, Part I

Schedule C, Part II

Schedule D

Agency Use Only

3/12/10 [redacted]

Agency Use Only

Derived From

Dated

Declassify On

Reporting Individual's Name: Alexander, Keith B

SCHEDULE A

Page Number: 2 of 6

Assets and Income — BLOCK A

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For your spouse, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government) for your spouse. If not the source but the amount of earned income is less than $1,000 you need report the actual amount of any income over $200 of your spouse.

None ☐

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $200)" is checked, no other entry is needed in Block C for that item. — BLOCK C

Assets and Income	None (or less than $1,000)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (specify type and amount)	Date (Mo., Day, Yr.) if not calendar year
Examples: [illegible]				x												x						x	x									
Examples: [illegible]			x																													
Examples: [illegible]					x								x										x									
Examples: [illegible]							x						x											x								
WRL Life Insurance (3 funds - see breakout below)																																
Transamerica Van Kampen Mid Cap Growth VP Mutual Fund		x											x							x												
Transamerica Value Balance VP Mutual Fund		x											x							x												
Transamerica Equity VP Mutual Fund		x											x							x												
Vanguard Small Cap Mutual Fund		x											x							x												
Vanguard Mid Cap Mutual Fund		x											x							x												

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or is held by the filer with the spouse or dependent children, tick the other higher categories of value, as appropriate.

Reporting Individual's Name: Alexander, Keith B

SCHEDULE A continued

(Use only if needed)

Page Number: [illegible]

Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B)													Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)															
																	Type				Amount								
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Type of Income (Business Name/Tenant)	Date Received (Mo., Day, Yr.) (Only if Filer's Spouse)
Datasponsion inc		X																		X											

* Exceptions apply only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is the filer's and the filer's spouse or dependent children, mark the other higher categories of value as appropriate.

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Alexander, Keith B

SCHEDULE B

Part I: Transactions

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss.

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

None ☐

Description of Assets	Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
Mosaic Company (no reportable income for Schedule A)		x		1/13/09	x											
Synchronous Tech Inc common (no reportable income for Schedule A)		x		6/6/09		x										
Pericom Semiconductor (no reportable income for Schedule A)		x		6/17/09	x											

[illegible]

Part II: Gifts, Reimbursements, and Travel Expenses

[illegible] **Exclude** [illegible]

None ☒

Source (Name and Address)	Brief Description
[illegible]	[illegible]

Reporting Individual's Name: Alexander, Keith B

SCHEDULE C

Page 4 of 6

Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at **any time** during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☐

	Creditor (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	$10,000-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	Over $1,000,000	Mortgage	Other Real Estate	Vehicles	Household Furniture or Appliances	Other
Example	[illegible]	Mortgage on real property located at [illegible]	[illegible]	[illegible]	[illegible]											
1	Quicken Loan Corporation San Diego CA	loan	2003	4.86%	10yr		x									
2																
3																
4																
5																

* If you or your spouse is the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or joint liability of the filer, spouse, or dependent children, mark the other higher category(s) as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☒

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example	[illegible]	[illegible]	[illegible]
1			
2			
3			
4			
5			
6			

Alexander, Keith B

SCHEDULE D

Part I: Positions Held Outside U.S. Government

Part II: Compensation in Excess of $5,000 Paid by One Source

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

Annual Certification

Restrictions for Seeking Post-Government Service Employment

I certify that I reviewed the restrictions (found on NSANet at: http://forms.do.nsa/pdf/SF0278_AC.pdf) for seeking post-Government service employment while still in Federal service, set forth in 18 U.S.C. 208, 41 U.S.C. 423, and 18 U.S.C 207. I further certify that I have not knowingly violated those statutes that apply to Federal personnel while they are in Federal service.

Alexander Keith B

Print: Last Name, First Name, Middle Initial

11 Mar 10

Date

Signature

MICENEMS

SF278 SUPERVISOR'S EVALUATION FORM

SF278 Filer's Name Alexander Keith B **SF278 Filer's SID** kbalexa **SF278 Filer's ORG** _____

SF278 Filer's Job Description (short list of duties-use back if necessary): Director NSA Chief CSS

ATTENTION SUPERVISORS! A conflict of interest may exist if the filer participates personally and substantially on behalf of the Government in any matter in which the filer, filer's spouse, minor child, general partner, outside business, employer, or organization for which the filer is an officer/director has a financial interest. Do you reasonably anticipate that the filer's Government action could have a direct and predictable effect on the financial interest involved? If so then a conflict of interest may exist. A filer may not work on a matter on behalf of the Government that could have a direct and predictable effect on a financial interest of someone with whom the filer has a "covered relationship," if a reasonable person with knowledge of the facts would question the filer's impartiality in the matter. A filer has a "covered relationship" with anyone with whom the filer has a close personal relationship or served as an employee within the last year. Consider the following options, mark one that best describes this filer's situation, then **SIGN**.

☐ No financial interests or affiliations reported. (Only check if **ALL** blocks on the SF278 are marked "**NONE**.")

☒ Reported financial interests or affiliations are unrelated to assigned or prospective duties, and no conflicts appear to exist.

☐ Reported financial interests or affiliations (including a former Non-Federal employer within the previous year) are currently unrelated to assigned duties, but are with defense contractors (or potential defense contractors) that are involved in the same general field as the filer. As the filer's supervisor, I recommend that E&FL issue a Memorandum of Caution to the filer to alert him/her of the potential for a conflict or an appearance of a conflict. The financial interest companies or affiliations are _____

☐ Assigned or prospective duties might require participation in matters involving a reported financial interest or affiliation (including a former Non-Federal employer within the previous year). The conflict will be resolved by:

☐ **Exemption**-Filer's and family's total financial interest at issue is a publicly-traded stock or bond worth less than $15,000.

☐ **Disqualification attached**-Supervisor confirms removal from conflicting duties through change in assigned work. Template may be found here: *http://siteworks.nsa/index.cfm?p_id=10304*

☐ **Divestiture** of interests by filer pending, and relief of filer from all related duties pending divestiture. Contact E&FL for Certificate of Divestiture.

☐ **Waivers** are only available when the filer's financial interests are not so substantial as to affect the filer's integrity because the interest is a small percentage of filer's total financial portfolio. Contact E&FL for waiver application.

Supervisor's Printed Name: Mr. James Cupero **Date:** _____

Supervisor's Signature: _____

Ethics & Fiscal Law (E&FL): D27, OPS 2A, Rm 2A0266, Suite 6205 963-6786s/301-688-2752b

Public Financial Disclosure Report (OGE 278)

For Keith B. Alexander

Received April 2011

Covering Calendar Year 2010

MICENEMS

11A

UNCLASSIFIED//FOR OFFICIAL USE ONLY

SF 278 (Rev 04/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved:
OMB No. 3209-0001

UNCLASSIFIED//FOR OFFICIAL USE ONLY

Date of Appointment, Candidacy, Election, or Nomination (Month, Day, Year)	Reporting Status (Check Appropriate Boxes)	Incumbent [X]	Calendar Year Covered by Report: 2009	New Entrant, Nominee, or Candidate [ ]
		Termination Filer [ ]	Termination Date (If Applicable) (Month, Day, Year)	

Reporting Individual's Name	Last Name: Alexander	First Name and Middle Initial: Keith B
Position for Which Filing	Title of Position: Director, NSA / Chief, CSS	Department or Agency (If Applicable): NSA/CSS
Location of Present Office (or forwarding address)	Address (Number, Street, City, State, and ZIP Code): 9800 Savage Road, Fort Meade, MD 20755-6000	Telephone No. (Include Area Code): 301-688-7111
Positions Held with the Federal Government During the Preceding 12 Months (If Not Same as Above)	Title of Position(s) and Date(s) Held: Not Applicable	
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination: Not Applicable	Do You Intend to Create a Qualified Diversified Trust? [ ] Yes [ ] No
Certification I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	Signature of Reporting Individual: [signature]	Date (Month, Day, Year): FEB 18, 2011
Other Review (If desired by agency)	Signature of Other Reviewer: [redacted]	Date (Month, Day, Year): 4/8/11
Agency Ethics Official's Opinion On the basis of information contained in this Report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).	Signature of Designated Agency Ethics Official/Reviewing Official: [signature]	Date (Month, Day, Year): 4/8/11
Office of Government Ethics Use Only	Signature:	Date (Month, Day, Year):

Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet)

IR [redacted] 2/18/11 - sent documentation for signature
- consistent w/ 2010 report
- No conflicts

[ ] (To check box if filing extension granted & indicate number of days ____)

[ ] (To check box if comments are continued on the reverse side)

Fee for Late Filing
Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period shall be subject to a $200 fee.

Reporting Periods
Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B—Not applicable.

Schedule C, Part I (Liabilities) The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements) Show any agreements or arrangements as of the date of filing.

Schedule D The reporting period is the preceding TWO calendar years and the current calendar year up to the date of filing.

Agency Use Only: 4/8/11

OGE Use Only

Supersedes Prior Editions, Which Cannot Be Used

Derived From: ____
Dated: ____
Declassify On: ____

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SF 278 (Rev. 01/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name: Alexander, Keith B

SCHEDULE A

Assets and Income — BLOCK A

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual amount of any honoraria over $200 of your spouse).

None ☐

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

Asset	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust
Examples: Central Airlines Common				X											
Examples: Doe Jones & Smith, Hometown, State			X												
Examples: Kemptstone Equity Fund					X								X		
Examples: IRA: Heartland 500 Index Fund							X						X		
1 WRL Life Insurance (3 funds - see breakout below):															
2 Transamerica Van Kampen Mid Cap Growth VP Mutual Fund		X											X		
3 Transamerica Value Balance VP Mutual Fund		X											X		
4 Transamerica Equity VP Mutual Fund		X											X		
5 Vanguard Small Cap Mutual Fund		X											X		
6 Vanguard Mid Cap Mutual Fund		X											X		

Asset	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Examples: Central Airlines Common	X						X										
Examples: Doe Jones & Smith, Hometown, State																Law partnership Income $170,000	
Examples: Kemptstone Equity Fund								X									
Examples: IRA: Heartland 500 Index Fund									X								
1 WRL Life Insurance (3 funds - see breakout below):																	
2 Transamerica Van Kampen Mid Cap Growth VP Mutual Fund					X												
3 Transamerica Value Balance VP Mutual Fund					X												
4 Transamerica Equity VP Mutual Fund					X												
5 Vanguard Small Cap Mutual Fund					X												
6 Vanguard Mid Cap Mutual Fund					X												

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

SF 278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name: Alexander, Keith B

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Datascension Inc.		x																		x												
2																																	
3																																	
4																																	
5																																	
6																																	
7																																	
8																																	
9																																	

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

SF 278 (Rev. 01/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name: Alexander, Keith B

SCHEDULE B

Part I: Transactions

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss.

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

None ☐

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
Example	Central Alliance common	x			2/1/99			x									
1	Vanguard Small Cap Mutual Fund	x			12/20/10	x											
2	Vanguard Mid Cap Mutual Fund	x			12/20/10	x											
3																	
4																	
5																	

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $260, and (2) travel-related cash reimbursements received from one source totaling more than $260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. **Exclude** anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $104 or less. See instructions for other exclusions.

None ☒

	Source (Name and Address)	Brief Description	Value
Examples	Nat'l Assn of Rock Collectors, NY, NY	Airline tickets, hotel room & meals - tickets to national conference @ $5.00 (personal activity unrelated to duty)	$500
	Frank Jones, San Francisco, CA	Leather briefcase (personal friend)	$200
1			
2			
3			
4			
5			

Prior Editions Cannot Be Used

OGE Sale Accepted (Rev. 01/2000)

SF 278 (Rev. 01/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name: Alexander, Keith B

SCHEDULE B continued
(Use only if needed)

Page Number: of 6

Part I: Transactions

	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)											
		Purchase	Sale	Exchange		$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,001 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	Over $100,000	Estimate the value of asset
1																		
2																		
3																		
4																		
5																		
6																		
7																		
8																		
9																		
10																		
11																		
12																		
13																		
14																		
15																		
16																		

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Prior Editions Cannot Be Used

SF 278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name	SCHEDULE C	Page Number
Alexander, Keith B		4 of 6

Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at **any time** during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☐

	Creditors (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	$10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			✓								
	John Jones, 123 St., Washington, DC	Promissory note	1999	free	on demand					✓						
1	College Loan Corporation, San Diego CA	loan	2003	4.86%	10yr		✓									
2																
3																
4																
5																

*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☒

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	2/85
1			
2			
3			
4			
5			
6			

Prior Editions Cannot Be Used.

(Rev. Nov. 2000)

SF 278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name	SCHEDULE D	Page Number
Alexander, Keith B		5 of 6

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None [x]

	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	2/85	2/00
1					
2					
3					
4					
5					
6					

Part II: Compensation in Excess of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

None [x]

	Source (Name and Address)	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University, client of Doe Jones & Smith, Anytown, State	Legal services in connection with university construction
1		
2		
3		
4		
5		
6		

Prior Editions Cannot Be Used

OGE Mod. Notification (03/2000)

Annual Certification

Restrictions for Seeking Post-Government Service Employment

I certify that I reviewed the restrictions (found on NSANet at: http://forms.do.nsa/pdf/SF0278_AC.pdf) for seeking post-Government service employment while still in Federal service, set forth in 18 U.S.C. 208, 41 U.S.C. 423, and 18 U.S.C. 207. I further certify that I have not knowingly violated those statutes that apply to Federal personnel while they are in Federal service.

Alexander Keith B

Print: Last Name, First Name, Middle Initial

18 FEB 11

Date

Signature

MICENEMS

VICE NEWS

SF278 SUPERVISOR'S EVALUATION FORM

SF278 Filer's Name: Alexander Keith B **SF278 Filer's SID:** kbalexa **SF278 Filer's ORG:** D

SF278 Filer's Job Description (short list of duties-use back if necessary): Director NSA / Chief CSS

ATTENTION SUPERVISORS! A conflict of interest may exist if the filer participates personally and substantially on behalf of the Government in any matter in which the filer, filer's spouse, minor child, general partner, outside business, employer, or organization for which the filer is an officer/director has a financial interest. Do you reasonably anticipate that the filer's Government action could have a direct and predictable effect on the financial interest involved? If so then a conflict of interest may exist. A filer may not work on a matter on behalf of the Government that could have a direct and predictable effect on a financial interest of someone with whom the filer has a "covered relationship," if a reasonable person with knowledge of the facts would question the filer's impartiality in the matter. A filer has a "covered relationship" with anyone with whom the filer has a close personal relationship or served as an employee within the last year. Consider the following options, mark one that best describes this filer's situation, then **SIGN**.

☐ No financial interests or affiliations reported. (Only check if **ALL** blocks on the SF278 are marked "**NONE**".)

☒ Reported financial interests or affiliations are unrelated to assigned or prospective duties, and no conflicts appear to exist.

☐ Reported financial interests or affiliations (including a former Non-Federal employer within the previous year) are currently unrelated to assigned duties, but are with defense contractors (or potential defense contractors) that are involved in the same general field as the filer. As the filer's supervisor, I recommend that E&FL issue a Memorandum of Caution to the filer to alert him/her of the potential for a conflict or an appearance of a conflict. The financial interest companies or affiliations are: _____

☐ Assigned or prospective duties might require participation in matters involving a reported financial interest or affiliation (including a former Non-Federal employer within the previous year). The conflict will be resolved by:

☐ **Exemption**-Filer's and family's total financial interest at issue is a publicly-traded stock or bond worth less than $15,000.

☐ **Disqualification attached**-Supervisor confirms removal from conflicting duties through change in assigned work. Template may be found here: *http://siteworks.nsa/inde.cfm?p_id=10304*

☐ **Divestiture** of interests by filer pending, and relief of filer from all related duties pending divestiture. Contact E&FL for Certificate of Divestiture.

☐ **Waivers** are only available when the filer's financial interests are not so substantial as to affect the filer's integrity because the interest is a small percentage of filer's total financial portfolio. Contact E&FL for waiver application.

Supervisor's Printed Name: Michael Vickers **Date:** _____

Supervisor's Signature: Michael Vickers

Ethics & Fiscal Law (E&FL): D27, OPS 2A, Rm 2A0266, Suite 6205 963-6786s/301-688-2752b

FORM SF278 SUP REV JAN 2009 (Previous versions are obsolete)

Public Financial Disclosure Report (OGE 278)

For Keith B. Alexander

Received April 2012

Covering Calendar Year 2011

MCENEMS

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

UNCLASSIFIED

	Reporting Status	☒ 2011	☐ ☐
Reporting Individual's Name	Alexander	Keith	B
Position for Which Filing	Commander US Cyber Com Director NSA/Chief CSS	NSA/CSS	
Location of Present Office	9800 Savage Road, Fort Meade MD 20755	(301) 688-7111	
	n/a		
Presidential Nominees Subject to Senate Confirmation	Not Applicable	☐ Yes	☐ No
Certification			
	Alexander Keith Brian kbalex2	04/04/2012	
Other Review Requested by Agency	[redacted]	4+2	
Agency Ethics Official Opinion			
Office of Government Ethics Use Only			

[redacted] 3/28/12 - RF Micro Devices appears new but was not purchased in 2011 Holding did not meet reporting requirements in the past but was purchased in 2007

Fee for Late Filing

Reporting Periods

Incumbents

Termination Filers

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A

Schedule B

Schedule C, Part I

Schedule C, Part II

Schedule D

[redacted]

Alexander, Keith B

SCHEDULE A

Assets and Income (Block A)	Valuation of Assets at close of reporting period (Block B)															Income: type and amount. If "None (or less than $200)" is checked, no other entry is needed in Block C for that item. (Block C)																	
																Type				Amount														
For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income. For yourself, also report the source and type of earned income exceeding $200 other than from the U.S. Government. For your spouse, report the source and type but not the amount of earned income of more than $200 except report the name and amount of any honoraria over $200 of your spouse. Note: ☐	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $200)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Source, Type and Business Activity, if applicable)	*Value Method Code (Q, V, or T)
Examples:																																		
WRC sale proceeds from your personal home																																		
*Transamerica Jan Kanden Mid Cap Growth VP Mutual Fund		X											X							X														
*Transamerica Value Balance VP Mutual Fund		X											X							X														
*Transamerica Equity VP Mutual Fund		X											X							X														
*Vanguard Equity Inc Mutual Fund		X											X							X														
*Vanguard Mid Cap Mutual Fund		X											X							X														

* [illegible]

Alexander Keith B

SCHEDULE A continued
(Use only if needed)

Assets and Income — Block A

Valuation of Assets at close of reporting period — Block B

Income: type and amount. If "None or less than $200" is checked, no other entry is needed in Block C for that item. — Block C

Assets and Income	None or less than $1,000	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None or less than $200	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (specify A, B, C, or D in column 1)	Date (Mo., Day, Yr.)
Datasource Inc	X																			X												
RF Micro Devices		X																		X												

* [illegible]

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Alexander, Keith B

SCHEDULE B

Part I: Transactions

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any of property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000; include transactions that resulted in a loss.

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

None ☒

Owner	Asset	Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction											
						$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	Over $50,000,000	Certificate of divestiture	Spouse/Dependent Child Asset

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $335 and (2) travel-related cash reimbursements received from one source totaling more than $335. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. **Exclude** anything given to you by the U.S. Government, given to your agency in connection with official travel, received from relatives, received by your spouse or dependent child totally independent of their relationship to you, or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $335 or less. See instructions for other exclusions.

None ☒

Source (Name and Address)	Brief description	Value

Alexander, Keith B

SCHEDULE C

Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at **any time** during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☐

Creditor (Name and Address)	Description of Property Securing Debt	Date Incurred	Type of Liability	Interest Rate	Term of Loan	Amount of Liability (Check one)										
Citibank Loan Corporation, San Diego CA	Loan	2003	4.86%				X									

Part II: Agreements or Arrangements

Report your agreements or arrangements for: 1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); 2) continuation of payment by a former employer (including severance payments); 3) leaves of absence; and 4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☒

Parties	Terms

Individual's Full Name	SCHEDULE D	Page Number
Alexander, Keith B		6 of 6

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☒

	Name of Organization	Type of Organization	Position	From	To
1					
2					
3					
4					
5					
6					

Part II: Compensation in Excess of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

None ☒

	Name and Address of Source	Date(s) and Amount(s) of Payment
1		
2		
3		
4		
5		
6		

Annual Certification

Restrictions for Seeking Post-Government Service Employment

I certify that I am aware of restrictions for seeking post-Government service employment while still in Federal service set forth in 18 U.S.C. 208, 41 U.S.C. 423, and 18 U.S.C. 207. I further certify that I have not knowingly violated those statutes that apply to Federal personnel while they are in Federal service.

Alexander	Keith	B
Print: Last Name	First Name	Middle Initial

** You MUST digitally sign the Signature of Individual Reporting box on page 1 BEFORE you are allowed to digitally sign the Employee Signature box on THIS page!**

** An e-Mail will pop up AFTER your Digital Signature has been applied to the Employee's Signature box on THIS page. Then just click the 'SEND' button to complete the process.

Alexander Keith Brian kbalex2

Employee's Signature

04/04/2012

Date

[redacted]

OGE 278 SUPERVISOR'S EVALUATION FORM

OGE 278 Filer's Name: Alexander, Keith B **OGE 278 Filer's SID:** kbalexa **OGE 278 Filer's ORG:** D

OGE 278 Filer's Job Description (short list of duties): Commander US Cyber Com/Director NSA/Chief CSS

ATTENTION SUPERVISORS! A conflict of interest may exist if the filer participates personally and substantially on behalf of the Government in any matter in which the filer, filer's spouse, minor child, general partner, outside business, employer, or organization for which the filer is an officer/director has a financial interest. Do you reasonably anticipate that the filer's Government action could have a direct and predictable effect on the financial interest involved? If so then a conflict of interest may exist. A filer may not work on a matter on behalf of the Government that could have a direct and predictable effect on a financial interest of someone with whom the filer has a "covered relationship," if a reasonable person with knowledge of the facts would question the filer's impartiality in the matter. A filer has a "covered relationship" with anyone with whom the filer has a close personal relationship or served as an employee within the last year. Consider the following options, mark one that best describes this filer's situation, then **SIGN**

☐ No financial interests or affiliations reported. (Only check if ALL blocks on the OGE 278 are marked "NONE".)

☒ Reported financial interests or affiliations are unrelated to assigned or prospective duties, and no conflicts appear to exist.

☐ Reported financial interests or affiliations (including a former Non-Federal employer within the previous year) are currently unrelated to assigned duties, but are with defense contractors (or potential defense contractors) that are involved in the same general field as the filer. As the filer's supervisor, I recommend that E&FL issue a Memorandum of Caution to the filer to alert him/her of the potential for a conflict or an appearance of a conflict. The financial interest companies or affiliations are _____

☐ Assigned or prospective duties might require participation in matters involving a reported financial interest or affiliation (including a former Non-Federal employer within the previous year). The conflict will be resolved by:

☐ **Exemption**-Filer's and family's total financial interest at issue is a publicly-traded stock or bond worth less than $15,000.

☐ **Disqualification attached**-Supervisor confirms removal from conflicting duties through change in assigned work. Template may be found here: (Disqualification Form/Notification Requirement) [redacted]

☐ **Divestiture** of interests by filer pending, and relief of filer from all related duties pending divestiture. Contact E&FL for Certificate of Divestiture.

☐ **Waivers** are only available when the filer's financial interests are not so substantial as to affect the filer's integrity because the interest is a small percentage of filer's total financial portfolio. Contact E&FL for waiver application.

Supervisor's Printed Name: Michael Vickers **Date:** 30 April 2012

Supervisor's Signature: Michael Vickers

Ethics & Fiscal Law (E&FL): D27, OPS 2A, Rm 2A0266, Suite 6205 963-6786s/301-688-2752b

Derived From _____
Dated _____
Declassify On _____

Public Financial Disclosure Report (OGE 278)

For Keith B. Alexander

Received April 2013

Covering Calendar Year 2012

MCENEMS

OGE Form 278 (Rev. 12/2011)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved
OMB No. 3209-0001

UNCLASSIFIED//FOR OFFICIAL USE ONLY

Date of Appointment, Candidacy, Election, or Nomination (Month, Day, Year)	Reporting Status (Check Appropriate Boxes)	Incumbent ☒	Calendar Year Covered by Report: 2012	New Entrant, Nominee, or Candidate ☐	Termination Filer ☐ / Termination Date (If Applicable) (Month, Day, Year)
Reporting Individual's Name	Last Name: Alexander		First Name and Middle Initial: Keith B		
Position for Which Filing	Title of Position: Commander USCYBERCOM/Director NSA/Chief CSS		Department or Agency (If Applicable): USCYBERCOM/NSA/CSS		
Location of Present Office (or forwarding address)	Address (Number, Street, City, State, and ZIP Code): 9800 Savage Road, Ft Meade MD 20755		Telephone No. (Include Area Code): (301) 688-7111		
Positions Held with the Federal Government During the Preceding 12 Months (If Not Same As Above)	Title of Position(s) and Dates Held				
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination: Not Applicable		Do You Intend to Create a Qualified Diversified Trust? ☐ Yes ☐ No		

** You MUST FIRST sign this page which will then allow you to sign the Annual Certificate page which is on the next to last page. Both pages MUST be signed to complete the process.

Certification: I hereby certify that the statements I have made on this form and all attached schedules and statements are complete and correct to the best of my knowledge.	Signature of Reporting Individual: Alexander Keith Brian kbalex2 (Digitally signed by Alexander Keith Brian kbalex2 DN: c=US, o=U.S. Government, ou=DoD, ou=PKI, ou=ARMY, cn=Alexander Keith Brian kbalex2 Date: 2013.04.08 17:19:24 -04'00')	04/08/2013
Other Review (If desired by agency)	Signature of Other Reviewer: [redacted]	Date (Month, Day, Year): 4-8-13
Agency Ethics Official's Opinion: I hereby certify that the statements contained in this report, to the best of my knowledge and belief, are in compliance with applicable laws and regulations governing ethics, except as noted in the comments of the reviewer.	Signature of Designated Agency Ethics Official/Reviewing Official: [signature]	Date (Month, Day, Year): 4/15/13
Office of Government Ethics Use Only	Signature:	Date (Month, Day, Year):

Comments of Reviewing Officials

IR [redacted] No conflicts. Incident WAIVER input

(Check box if filing extension granted & indicate number of days) ☐

Fee for Late Filing

Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a $200 fee.

Reporting Periods

Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A - The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B - Not applicable.

Schedule C, Part I (Liabilities) - The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements) - Show any agreements or arrangements as of the date of filing.

Schedule D - The reporting period is the preceding **two** calendar years and the current calendar year up to the date of filing.

Agency Use Only

OGE Use Only

INSTRUCTIONS

OGE Form 278 (Rev. 12/2011)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name: Alexander , Keith B

SCHEDULE A

Assets and Income — BLOCK A

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual amount of any honoraria over $200 of your spouse).

None ☐

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria [illegible]
Examples: Capital Airlines Common				✓												✓						✓										
Examples: Doe Jones & Smith, Hometown, State			✓																				✓								[illegible]	
Examples: Sampstone Equity Fund					✓								✓																			
Examples: SAV Heartland 500 Index Fund							✓						✓											✓						✓		
1 WRL Life Insurance (3 funds - see breakout below)																																
2 Transamerica Van Kampen Mid Cap Growth VP Mutual Fund		X											X							X												
3 Transamerica Value Balance VP Mutual Fund		X											X							X												
4 Transamerica Equity VP Mutual Fund		X											X							X												
5 Vanguard Small Cap Mutual Fund		X											X							X												
6 Vanguard Mid Cap Mutual Fund		X											X							X												

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

OGE Form 278 (Rev. 12/2011)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name: Alexander, Keith B

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria (MM DD YYYY)
1	RF Micro Devices		X																		X												
2																																	
3																																	
4																																	
5																																	
6																																	
7																																	
8																																	
9																																	
10																																	

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

OGE Form 278 (Rev. 12/2011)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name: Alexander , Keith B

SCHEDULE B

Part I: Transactions

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss.

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

None ☒

	Identification of Assets	Transaction Type(s): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of Divestiture
Example	Central Airlines Common	X			1/09			X									
1																	
2																	
3																	
4																	
5																	

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $350; and (2) travel-related cash reimbursements received from one source totaling more than $335. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. **Exclude** anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $134 or less. See instructions for other exclusions.

None ☒

	Source (Name and Address)	Brief Description	Value
Example	Nat'l Assn of Black Colleges, NY, NY	Airline ticket, 3 no. of meals in town, educational conference, & two personal dinners, applied to class	$[illegible]
	Leigh Jones, San Francisco, CA	Feather bracelet (personal friend)	$50
1			
2			
3			
4			
5			

OGE Form 278 (Rev. 12/2011)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name: Alexander , Keith B

SCHEDULE B continued
(Use only if needed)

Page Number: of 6

Part I: Transactions

	Identification of Assets	Transaction Type (s): Purchase	Sale	Exchange	Date (Mo., Day, Yr.) (MM/DD/YYYY)	Amount of Transaction (s): $1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	Over $1,000,000*	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	Over $50,000,000	Certificate of Divestiture
1																	
2																	
3																	
4																	
5																	
6																	
7																	
8																	
9																	
10																	
11																	
12																	
13																	
14																	
15																	
16																	

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

OGE Form 278 (Rev. 12/2011)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name: Alexander , Keith B

SCHEDULE C

Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at **any time** during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☐

	Creditors (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (X): $10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples	Bank of District Bank, Washington, DC	Mortgage on rental property, Delaware	1993	8%	15 yrs.			X								
	[illegible]	Promissory note	2000	12%	on demand					X						
1	College Loan Corporation, San Diego CA	loan	2003	4.86%	10yr		X									
2																
3																
4																
5																

* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401K, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☒

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example	Partner in partnership agreement to receive lump sum payment of capital account & partnership share calculated on service performed through [illegible]	Doe, Jones & Smith, Hometown, State	[illegible]
1			
2			
3			
4			
5			
6			

OGE Form 278 (Rev. 12/2011)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name	SCHEDULE D	Page Number
Alexander . Keith B		of 6

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☒

	Organization (Name and Address)	Type of Organization	Position Held	From (Mo./Yr.)	To (Mo./Yr.)
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law Firm	Partner	7/85	1/90
1					
2					
3					
4					
5					
6					

Part II: Compensation in Excess of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

None ☒

	Source (Name and Address)	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Money Dues Corp. (client of Doe Jones & Smith), Money Town, State	Legal services in connection with university consultation
1		
2		
3		
4		
5		
6		

Annual Certification

Restrictions for Seeking Post-Government Service Employment

I certify that I am aware of restrictions for seeking post-Government service employment while still in Federal service, set forth in 18 U.S.C. 208, 41 U.S.C. 423, and 18 U.S.C. 207. I further certify that I have not knowingly violated those statutes that apply to Federal personnel while they are in Federal service.

Alexander	Keith	B
Print: Last Name	First Name	Middle Initial

** You MUST digitally sign the Signature of Individual Reporting box on page 1 BEFORE you are allowed to digitally sign the Employee Signature box on THIS page!!

** An e-mail will pop up AFTER your Digital Signature has been applied to the Employee's Signature box on THIS page. Then just click the 'SEND' button to complete the process.

Alexander Keith Brian kbalex2

Digitally signed by Alexander Keith Brian kbalex2
DN: c=US, o=U.S. Government, ou=DoD, ou=NSA, ou=DOD3, ou=ARMY, cn=Alexander Keith Brian kbalex2
Date: 2013.04.08 12:19:46 -04'00'

Employee's Signature

04/08/2013

Date

Certification Instructions

OGE 278 SUPERVISOR'S EVALUATION FORM

OGE 278 Filer's Name: Alexander . Keith B **OGE 278 Filer's SID:** kbalex2 **OGE 278 Filer's ORG:** D

OGE 278 Filer's Job Description (short list of duties): Commander USCYBERCOM/Director NSA/Chief CSS

ATTENTION SUPERVISORS! A conflict of interest may exist if the filer participates personally and substantially on behalf of the Government in any matter in which the filer, filer's spouse, minor child, general partner, outside business, employer, or organization for which the filer is an officer/director has a financial interest. Do you reasonably anticipate that the filer's Government action could have a direct and predictable effect on the financial interest involved? If so then a conflict of interest may exist. A filer may not work on a matter on behalf of the Government that could have a direct and predictable effect on a financial interest of someone with whom the filer has a "covered relationship," if a reasonable person with knowledge of the facts would question the filer's impartiality in the matter. A filer has a "covered relationship" with anyone with whom the filer has a close personal relationship or served as an employee within the last year. Consider the following options, mark one that best describes this filer's situation, then **SIGN**.

☐ No financial interests or affiliations reported. (Only check if ALL blocks on the OGE 278 are marked "NONE".)

☒ Reported financial interests or affiliations are unrelated to assigned or prospective duties, and no conflicts appear to exist.

☐ Reported financial interests or affiliations (including a former Non-Federal employer within the previous year) are currently unrelated to assigned duties, but are with defense contractors (or potential defense contractors) that are involved in the same general field as the filer. As the filer's supervisor, I recommend that E&FL issue a Memorandum of Caution to the filer to alert him/her of the potential for a conflict or an appearance of a conflict. The financial interest companies or affiliations are: _____

☐ Assigned or prospective duties might require participation in matters involving a reported financial interest or affiliation (including a former Non-Federal employer within the previous year). The conflict will be resolved by:

☐ **Exemption**-Filer's and family's total financial interest at issue is a publicly-traded stock or bond worth less than $15,000.

☐ **Disqualification attached**-Supervisor confirms removal from conflicting duties through change in assigned work. Template may be found here:
(Click "Disqualification Form" button to complete requirement) [redacted]

☐ **Divestiture** of interests by filer pending, and relief of filer from all related duties pending divestiture. Contact E&FL for Certificate of Divestiture.

☐ **Waivers** are only available when the filer's financial interests are not so substantial as to affect the filer's integrity because the interest is a small percentage of filer's total financial portfolio. Contact E&FL for waiver application.

Supervisor's Printed Name: Michael Vickers **Date:** 12 April 2013

Supervisor's Signature: Michael Vickers

** An e-mail will pop up AFTER your Digital Signature has been applied to the Supervisor's Signature box on THIS page. Then click the "SEND" button to complete the process.

Ethics & Fiscal Law (E&FL): D27, OPS 2A, Rm 2A0266, Suite 6205 963-6786s/301-688-2752b

FORM OGE 278 SEP REV AUG 2011 (Previous versions are obsolete)

Derived From: _____
Dated: _____
Declassify On: _____

Public Financial Disclosure Report (OGE 278)

For Keith B. Alexander

Received March 2014

Covering Calendar Year 2013

And 01 January 2014 to 31 March 2014

NGE NEWS

OGE Form 278 (Rev. 12/2013)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved:
OMB No. 3209-0001

UNCLASSIFIED

Date of Appointment, Candidacy, Election, or Nomination (Month, Day, Year)	Reporting Status (Check Appropriate Boxes)	Incumbent	Calendar Year Covered by Report	New Entrant, Nominee, or Candidate	Termination Filer	Termination Date (If Applicable) (Month, Day, Year)
08/02/2005		☐	2013	☐	☒	03/31/2014

Reporting Individual's Name	Last Name: Alexander	First Name and Middle Initial: Keith B
Position for Which Filing	Title of Position: Commander USCYBERCOM/Director NSA/Chief CSS	Department or Agency (If Applicable): USCYBERCOMM/NSA/CSS
Location of Present Office (or forwarding address)	Address (Number, Street, City, State, and ZIP Code): 9800 Savage Road, Ft. Meade MD 20755	Telephone No. (Include Area Code): (301) 688-7111
Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above)	Title of Position(s) and Date(s) Held	
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination: Not Applicable	Do You Intend to Create a Qualified Diversified Trust? ☐ Yes ☐ No

Certification	Signature of Reporting Individual	Date
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	** You MUST FIRST sign this page which will then allow you to sign the Annual Certificate page which is on the next to last page. Both pages MUST be signed to complete the process. Alexander Keith Brian kbalex2 — Digitally signed by Alexander Keith Brian kbalex2 DN: c=US, o=U.S. Government, ou=DoD, ou=NSA, ou=D003, ou=ARMY, cn=Alexander Keith Brian kbalex2 Date: 2014.03.31 11:54:27 -04'00'	03/31/2014
Other Review (If desired by agency)	Signature of Other Reviewer: [redacted]	Date (Month, Day, Year): 03/31/2014
Agency Ethics Official's Opinion	Signature of Designated Agency Ethics Official/Reviewing Official	Date (Month, Day, Year)
On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).		
Office of Government Ethics Use Only	Signature	Date (Month, Day, Year)

Comments of Reviewing Officials

IR[redacted]3/31[redacted] - NO CONFLICTS - Consistent with 2013 report.

(Check box if filing extension granted & indicate number of days) ☐

Fee for Late Filing

Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a $200 fee.

Reporting Periods

Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A--The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B--Not applicable.

Schedule C, Part I (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements)--Show any agreements or arrangements as of the date of filing.

Schedule D--The reporting period is the preceding **two** calendar years and the current calendar year up to the date of filing.

Agency Use Only

03/31/14[redacted]

OGE Use Only

[redacted]

OGE Form 278 (Rev. 12/2013)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name: Alexander, Keith B

SCHEDULE A

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual amount of any honoraria over $200 of your spouse).

None ☐

	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria (MM/DD/YYYY)
Examples	Central Airlines Common				X												X						X										
	Doe Jones & Smith, Hometown, State			X																												Law Partnership Income $1,000.00	
	Kempstone Equity Fund					X								X										X									
	IRA: Heartland 500 Index Fund							X						X											X								
1	WRL Life Insurance (3 funds - see breakout below):																																
2	Transamerica Van Kampen Mid Cap Growth VP Mutual Fund		X											X							X												
3	Transamerica Value Balance VP Mutual Fund		X											X							X												
4	Transamerica Equity VP Mutual Fund		X											X							X												
5	RF Micro Devices		X																		X												
6																																	

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

OGE Form 278 (Rev. 12/2013)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name: Alexander, Keith B

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (Block A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria (MM/DD/YYYY)
1																																	
2																																	
3																																	
4																																	
5																																	
6																																	
7																																	
8																																	
9																																	

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

OGE Form 278 (Rev. 12/2013)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name: Alexander, Keith B

SCHEDULE B

Part I: Transactions

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss.

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

None ☒

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
Example	Central Airlines Common	x			2/1/99			x									
1	VANGUARD SMALL CAP MUTUAL FUND		X		8/27/13	X											
2	VANGUARD MID CAP MUTUAL FUND		X		8/27/13	X											
3																	
4																	
5																	

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $335 and (2) travel-related cash reimbursements received from one source totaling more than $335. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. **Exclude** anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $134 or less. See instructions for other exclusions.

None ☒

	Source (Name and Address)	Brief Description	Value
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty)	$500
	Frank Jones, San Francisco, CA	Leather briefcase (personal friend)	$350
1			
2			
3			
4			
5			

OGE Form 278 (Rev. 12/2013)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name: Alexander, Keith B.

SCHEDULE B continued
(Use only if needed)

Part I: Transactions

	Identification of Assets	Transaction Type (X)			Date (Mo., Day, Yr.) (MM/DD/YYYY)	Amount of Transaction (X)												
		Purchase	Sale	Exchange		$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
1																	
2																	
3																	
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8																	
9																	
10																	
11																	
12																	
13																	
14																	
15																	
16																	

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

OGE Form 278 (Rev. 12/2013)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name	SCHEDULE C	Page Number
Alexander, Keith B		4 of 6

Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at **any time** during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☒

	Creditors (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	$10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			X								
	[illegible], Washington, DC	Promissory note	1989	10%	on demand					X						
1																
2																
3																
4																
5																

Category of Amount or Value (x)

*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☒

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/01.	Doe Jones & Smith, Hometown, State	7/85
1			
2			
3			
4			
5			
6			

OGE Form 278 (Rev. 12/2013)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name	SCHEDULE D	Page Number
Alexander, Keith B		5 of 6

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☒

	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples	Nat'l Assn. of Rock Collectors, N1, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law Firm	Partner	7/85	1/90
1					
2					
3					
4					
5					
6					

Part II: Compensation in Excess of $5,000 Paid by One Source

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

None ☒

	Source (Name and Address)	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1		
2		
3		
4		
5		
6		

Annual Certification

Restrictions for Seeking Post-Government Service Employment

I certify that I am aware of restrictions for seeking post-Government service employment while still in Federal service, set forth in 18 U.S.C. 208, 41 U.S.C. 423, and 18 U.S.C. 207. I further certify that I have not knowingly violated those statutes that apply to Federal personnel while they are in Federal service.

Alexander	Keith	B
Print: Last Name	First Name	Middle Initial

** You MUST digitally sign the Signature of Individual Reporting box on page 1 BEFORE you are allowed to digitally sign the Employee Signature box on THIS page!!

** An e-mail will pop up AFTER your Digital Signature has been applied to the Employee's Signature box on THIS page. Then just click the 'SEND' button to complete the process.

Alexander Keith Brian kbalex2

Digitally signed by Alexander Keith Brian kbalex2
DN: c=US, o=U.S. Government, ou=DoD, ou=NSA, ou=D003, ou=ARMY, cn=Alexander Keith Brian kbalex2
Date: 2014.03.31 11:54:56 -04'00'

Employee's Signature

03/31/2014

Date

[redacted]

FORM OG-278 AC REV DEC 2013 (Previous versions are obsolete)

OGE 278 SUPERVISOR'S EVALUATION FORM

OGE 278 Filer's Name: Alexander, Keith B **OGE 278 Filer's SID:** kbalex2 **OGE 278 Filer's ORG:** D

OGE 278 Filer's Job Description (short list of duties): Commander USCYBERCOM/Director NSA/Chief CSS

ATTENTION SUPERVISORS! A conflict of interest may exist if the filer participates personally and substantially on behalf of the Government in any matter in which the filer, filer's spouse, minor child, general partner, outside business, employer, or organization for which the filer is an officer/director has a financial interest. Do you reasonably anticipate that the filer's Government action could have a direct and predictable effect on the financial interest involved? If so then a conflict of interest may exist. A filer may not work on a matter on behalf of the Government that could have a direct and predictable effect on a financial interest of someone with whom the filer has a "covered relationship," if a reasonable person with knowledge of the facts would question the filer's impartiality in the matter. A filer has a "covered relationship" with anyone with whom the filer has a close personal relationship or served as an employee within the last year. Consider the following options, mark one that best describes this filer's situation, then **SIGN**.

☐ No financial interests or affiliations reported. (Only check if ALL blocks on the OGE 278 are marked "NONE".)

☒ Reported financial interests or affiliations are unrelated to assigned or prospective duties, and no conflicts appear to exist.

☐ Reported financial interests or affiliations (including a former Non-Federal employer within the previous year) are currently unrelated to assigned duties, but are with defense contractors (or potential defense contractors) that are involved in the same general field as the filer. As the filer's supervisor, I recommend that A&E issue a Memorandum of Caution to the filer to alert him/her of the potential for a conflict or an appearance of a conflict. The financial interest companies or affiliations are: _____

☐ Assigned or prospective duties might require participation in matters involving a reported financial interest or affiliation (including a former Non-Federal employer within the previous year). The conflict will be resolved by:

☐ **Exemption**-Filer's and family's total financial interest at issue is a publicly-traded stock or bond worth less than $15,000.

☐ **Disqualification attached**-Supervisor confirms removal from conflicting duties through change in assigned work. Template may be found here:
(Click "Disqualification Form" button to complete requirement) [redacted]

☐ **Divestiture** of interests by filer pending, and relief of filer from all related duties pending divestiture. Contact A&E for Certificate of Divestiture.

☐ **Waivers** are only available when the filer's financial interests are not so substantial as to affect the filer's integrity because the interest is a small percentage of filer's total financial portfolio. Contact A&E for waiver application.

Supervisor's Printed Name: _____ **Date:** _____

An e-mail will pop up AFTER your Digital Signature has been applied to the Supervisor's Signature line on this form. Then just click the "SEND" button to complete the process.

Supervisor's Signature:

Administrative Law & Ethics (A&E): D23, NBP1, Room 3A67, Suite 6648 992-3930s/410-854-3910.
Questions reguarding the OGE278 should be sent to OGE278@nsa.gov

FORM OGE 278, SEP REV DEC 2013 (Previous versions are obsolete)

Derived From: _____
Dated: _____
Declassify On: _____